

Quantum Gravity and Emergent Spacetime from Perspectival Structure

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Abstract

We develop a comprehensive framework for quantum gravity and the emergence of spacetime from the perspectival structure imposed by the Yoneda Lemma of category theory. Building on the Quantum Perspectivism program—which derives quantum mechanics from the single postulate that physical identity is relational (the Yoneda Constraint)—we show that spacetime geometry, Einstein’s field equations, and the holographic principle all emerge as structural consequences of the same categorical machinery. Specifically, we prove that the Haag–Kastler axioms of algebraic quantum field theory arise as a special case of the Yoneda-perspectival framework when the category of contexts is taken to be the poset of causally convex open regions of a Lorentzian manifold. We demonstrate that Grothendieck topologies on abstract categories of contexts provide the mathematical scaffolding for emergent geometry: the locale of points of the associated sheaf topos, under suitable finiteness and connectivity conditions, recovers a topological manifold. We propose that Einstein’s field equations emerge as consistency constraints on the Grothendieck topology, relating the “curvature” of perspectival structure to the energy-momentum content of the quantum presheaves. The holographic principle is shown to be a direct physical manifestation of the Yoneda Lemma: that bulk physics is determined by boundary relational data. We establish precise analogies between our framework and loop quantum gravity, causal set theory, and spin foam models, and we construct explicit toy models demonstrating emergent geometry from finite categories. The paper culminates in a unification thesis: quantum mechanics and general relativity are not separate theories requiring reconciliation, but two manifestations of a single categorical structure—the presheaf topos over the category of physical contexts.

Keywords: quantum gravity, emergent spacetime, Yoneda Lemma, category theory, Grothendieck topology, algebraic quantum field theory, holographic principle, topos theory, loop quantum gravity, causal sets

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1 Introduction

The unification of quantum mechanics and general relativity remains the most significant open problem in theoretical physics. Quantum mechanics describes physics at microscopic scales through linear superposition, entanglement, and probabilistic measurement outcomes. General relativity describes gravity as the curvature of spacetime, a smooth Lorentzian manifold whose geometry is dynamically determined by the matter content through Einstein’s field equations. The two theories operate in fundamentally different mathematical frameworks: quantum mechanics in fixed-background Hilbert spaces, general relativity on a dynamical manifold with no preferred Hilbert space structure.

Previous approaches to quantum gravity—string theory, loop quantum gravity, causal dynamical triangulations, causal set theory—have each illuminated aspects of the problem but none has achieved a complete, experimentally verified unification. We propose that the difficulty lies not in the technical details of quantization but in a foundational misconception: the assumption that spacetime is a fundamental arena in which quantum physics occurs.

In a companion paper [1], we introduced the framework of **Quantum Perspectivism**, which derives the entire structure of quantum mechanics from a single categorical axiom: the **Yoneda Constraint**. This axiom states that a physical system is completely determined by its relational profile—the totality of morphisms from all possible probe systems—and that there are no physical properties beyond those accessible via such morphisms. The Yoneda Constraint is not new physics; it is the physical interpretation of the Yoneda Lemma, a foundational theorem of category theory.

The present paper extends Quantum Perspectivism to the domain of gravity and spacetime. Our central thesis is:

Spacetime is not a fundamental arena but an emergent structure arising from the perspectival relationships encoded in the Grothendieck topology on the category of physical contexts. Einstein’s field equations are constraints on this topology, and the holographic principle is a direct consequence of the Yoneda Lemma applied to spatial regions.

The paper is organized as follows. Section 2 reviews the Quantum Perspectivism framework and establishes notation. Section 3 derives the Haag–Kastler axioms of algebraic quantum field theory from the Yoneda Constraint, providing a detailed proof that treats each axiom individually. Section 4 develops the theory of Grothendieck topologies and sheaf conditions in the physical context, explaining what these abstract mathematical structures mean for the emergence of spacetime. Section 5 constructs the passage from abstract categories to emergent geometry, including locales, spatial locales, and conditions for manifold emergence. Section 6 proposes a speculative but rigorous framework in which Einstein’s field equations arise as constraints on the Grothendieck topology. Section 7 establishes the holographic principle as a physical manifestation of the Yoneda Lemma, drawing precise connections to the AdS/CFT correspondence and the Ryu–Takayanagi formula. Section 8 connects our framework to loop quantum gravity, causal set theory, and spin foam models. Section 9 presents concrete toy models demonstrating emergent geometry from finite categories. Section 10 presents the unification thesis: quantum mechanics and general

relativity from the same categorical structure. Section 11 discusses open problems and future directions.

2 Review: The Quantum Perspectivism Framework

We briefly review the Quantum Perspectivism framework established in [1].

2.1 The Yoneda Constraint

Let \mathcal{C} be a category whose objects are **observational contexts**—complete specifications of experimental setups, reference frames, or measurement configurations—and whose morphisms $f : C \rightarrow C'$ represent **refinements** or **transformations** between contexts.

Axiom 1 (The Yoneda Constraint). A physical system S is completely determined by its relational profile: the totality of morphisms from all possible probe systems into S . There are no physical properties of S beyond those accessible via such morphisms. Mathematically, S is a presheaf

$$S : \mathcal{C}^{\text{op}} \rightarrow \mathbf{Set}, \quad (1)$$

and the Yoneda embedding $y : \mathcal{C} \hookrightarrow \widehat{\mathcal{C}} = [\mathcal{C}^{\text{op}}, \mathbf{Set}]$ is fully faithful.

2.2 From Presheaves to Quantum Mechanics

The main results of [1] establish that:

- (i) The monoidal structure on \mathcal{C} forces fibers $S(C)$ to carry vector space structure over \mathbb{C} .
- (ii) Perspectival consistency—coherent pairings across common refinements—yields Hermitian inner products, making each fiber a Hilbert space \mathcal{H}_C .
- (iii) Observables arise as self-adjoint natural transformations $\alpha : S \Rightarrow S$.
- (iv) The Born rule $p(\lambda) = |\langle e_\lambda, \psi \rangle|^2$ follows from the Yoneda isomorphism combined with Gleason’s theorem.
- (v) Unitary dynamics are natural automorphisms $U_t : S \Rightarrow S$, generated by a Hamiltonian via Stone’s theorem.

2.3 The Presheaf Topos

The category $\widehat{\mathcal{C}} = [\mathcal{C}^{\text{op}}, \mathbf{Set}]$ of all presheaves on \mathcal{C} is a **topos**: it has a subobject classifier Ω (whose values are sieves, providing multi-valued quantum logic), all finite limits and colimits, and internal function objects. The presheaf topos is the “universe of discourse” for Quantum Perspectivism.

A key observation for the present paper: when we equip \mathcal{C} with a **Grothendieck topology** J , we can pass from the presheaf topos to the **sheaf topos** $\text{Sh}(\mathcal{C}, J)$, a

subtopos of $\widehat{\mathcal{C}}$ in which presheaves satisfy a gluing condition. This passage from presheaves to sheaves is the mathematical mechanism by which spacetime geometry emerges from abstract perspectival structure.

3 AQFT from the Yoneda Constraint: Detailed Derivation

Algebraic quantum field theory (AQFT), formulated by Haag and Kastler [5], provides a mathematically rigorous framework for quantum field theory based on assigning algebras of observables to spacetime regions. We now show that the Haag–Kastler axioms are not independent postulates but consequences of the Yoneda Constraint when the category of contexts is specialized to spacetime regions.

3.1 The Category of Spacetime Regions

Let (M, g) be a globally hyperbolic Lorentzian manifold. Define the category $\mathbf{Loc}(M)$ whose objects are causally convex open subsets $\mathcal{O} \subseteq M$ and whose morphisms are inclusions $\iota : \mathcal{O}_1 \hookrightarrow \mathcal{O}_2$ whenever $\mathcal{O}_1 \subseteq \mathcal{O}_2$. This is a poset category: there is at most one morphism between any two objects.

Definition 3.1 (The Spacetime Context Category). The category \mathcal{C}_{st} is defined as $\mathbf{Loc}(M)^{\text{op}}$, the opposite category of causally convex open regions ordered by reverse inclusion. An object of \mathcal{C}_{st} is a spacetime region \mathcal{O} , and a morphism $\mathcal{O}_2 \rightarrow \mathcal{O}_1$ exists if and only if $\mathcal{O}_1 \subseteq \mathcal{O}_2$.

The reversal to the opposite category is natural from the perspectival viewpoint: a larger region provides a coarser perspective (less localized information), and the morphism points from coarse to fine.

3.2 The Net of Observables as a Presheaf

By the Yoneda Constraint, a physical system on M is a presheaf

$$\mathcal{A} : \mathcal{C}_{\text{st}}^{\text{op}} \rightarrow \mathbf{Set}. \quad (2)$$

Since $\mathcal{C}_{\text{st}} = \mathbf{Loc}(M)^{\text{op}}$, this is equivalently a covariant functor

$$\mathcal{A} : \mathbf{Loc}(M) \rightarrow \mathbf{Set}. \quad (3)$$

The Yoneda Constraint, combined with the linearization argument (monoidal structure on contexts forces vector space structure) and the algebraic structure induced by composition of observables, promotes \mathbf{Set} to the category of C^* -algebras:

Theorem 3.2 (AQFT Net from Yoneda Constraint). *Let $\mathcal{C}_{\text{st}} = \mathbf{Loc}(M)^{\text{op}}$ be the spacetime context category for a globally hyperbolic Lorentzian manifold (M, g) . If a physical system S satisfies the Yoneda Constraint with:*

- (a) *monoidal structure on \mathcal{C}_{st} arising from causal disjointness,*
- (b) *perspectival consistency (Hermitian inner product on fibers),*

(c) the algebraic closure requirement that observables form a C^* -algebra,

then S determines a covariant functor $\mathcal{A} : \mathbf{Loc}(M) \rightarrow C^*\text{-Alg}$, i.e., a net of C^* -algebras over spacetime.

Proof. The Yoneda Constraint gives a presheaf $S : \mathcal{C}_{\text{st}}^{\text{op}} \rightarrow \mathbf{Set}$, equivalently a functor $S : \mathbf{Loc}(M) \rightarrow \mathbf{Set}$. For each open \mathcal{O} , the fiber $S(\mathcal{O})$ carries the structure of a complex vector space (by the linearization proposition of [1]) and a Hermitian inner product (by perspectival consistency).

The observables on \mathcal{O} are self-adjoint natural endomorphisms of the restriction of S to \mathcal{O} , which form a real vector space closed under the Jordan product $A \circ B = \frac{1}{2}(AB + BA)$. The algebraic closure requirement promotes this to a C^* -algebra $\mathcal{A}(\mathcal{O})$.

For an inclusion $\iota : \mathcal{O}_1 \hookrightarrow \mathcal{O}_2$, the functoriality of S gives a map $S(\iota) : S(\mathcal{O}_1) \rightarrow S(\mathcal{O}_2)$, which induces an injective $*$ -homomorphism $\mathcal{A}(\iota) : \mathcal{A}(\mathcal{O}_1) \hookrightarrow \mathcal{A}(\mathcal{O}_2)$ by naturality. Functoriality ($S(\iota_2 \circ \iota_1) = S(\iota_2) \circ S(\iota_1)$) ensures that \mathcal{A} is a covariant functor. \square

3.3 Derivation of the Haag–Kastler Axioms

We now derive each of the standard Haag–Kastler axioms as consequences of the Yoneda-perspectival structure.

3.3.1 Isotony

Proposition 3.3 (Isotony from Functoriality). *If $\mathcal{O}_1 \subseteq \mathcal{O}_2$, then $\mathcal{A}(\mathcal{O}_1) \subseteq \mathcal{A}(\mathcal{O}_2)$ (as a C^* -subalgebra via the inclusion $*$ -homomorphism).*

Proof. This is immediate from the functoriality of \mathcal{A} . The inclusion $\iota : \mathcal{O}_1 \hookrightarrow \mathcal{O}_2$ induces $\mathcal{A}(\iota) : \mathcal{A}(\mathcal{O}_1) \rightarrow \mathcal{A}(\mathcal{O}_2)$, and the faithfulness of the Yoneda embedding guarantees that $\mathcal{A}(\iota)$ is injective. Indeed, if $\mathcal{A}(\iota)(A) = 0$ for some $A \in \mathcal{A}(\mathcal{O}_1)$, then A would be relationally invisible from the perspective of \mathcal{O}_2 , contradicting the Yoneda Constraint’s assertion that all relational data is physically meaningful. \square

3.3.2 Locality (Einstein Causality)

Proposition 3.4 (Locality from Perspectival Independence). *If \mathcal{O}_1 and \mathcal{O}_2 are spacelike separated, then the algebras $\mathcal{A}(\mathcal{O}_1)$ and $\mathcal{A}(\mathcal{O}_2)$ commute when embedded in any common algebra $\mathcal{A}(\mathcal{O})$ with $\mathcal{O}_1, \mathcal{O}_2 \subseteq \mathcal{O}$:*

$$[A_1, A_2] = 0 \quad \text{for all } A_1 \in \mathcal{A}(\mathcal{O}_1), A_2 \in \mathcal{A}(\mathcal{O}_2). \quad (4)$$

Proof. Two spacelike-separated regions represent *causally independent perspectives*: no morphism in \mathcal{C}_{st} connects them directly (neither is contained in the other, and no causal signal can travel between them). In the Yoneda framework, the observational data obtained from causally independent perspectives must be jointly consistent but mutually non-interfering.

Formally, the monoidal structure on \mathcal{C}_{st} arising from causal disjointness gives $\mathcal{O}_1 \otimes \mathcal{O}_2$ (the “parallel combination” of the two perspectives). The presheaf condition requires

$$S(\mathcal{O}_1 \otimes \mathcal{O}_2) \cong S(\mathcal{O}_1) \otimes S(\mathcal{O}_2), \quad (5)$$

which at the algebraic level translates to

$$\mathcal{A}(\mathcal{O}_1 \cup \mathcal{O}_2) \cong \mathcal{A}(\mathcal{O}_1) \otimes \mathcal{A}(\mathcal{O}_2) \quad (6)$$

(where \otimes is the C^* -algebraic tensor product). In the tensor product algebra, elements of the two tensor factors commute. Since the algebras $\mathcal{A}(\mathcal{O}_1)$ and $\mathcal{A}(\mathcal{O}_2)$ embed into $\mathcal{A}(\mathcal{O})$ through the respective inclusions, they commute within $\mathcal{A}(\mathcal{O})$. \square

3.3.3 Covariance

Proposition 3.5 (Covariance from Naturality). *If $\alpha : M \rightarrow M$ is an isometry (a diffeomorphism preserving the metric g), then there exists an isomorphism of C^* -algebras $\beta_\alpha : \mathcal{A}(\mathcal{O}) \rightarrow \mathcal{A}(\alpha(\mathcal{O}))$ for every \mathcal{O} , such that these isomorphisms are compatible with inclusions: if $\mathcal{O}_1 \subseteq \mathcal{O}_2$, then $\beta_\alpha \circ \mathcal{A}(\iota) = \mathcal{A}(\alpha(\iota)) \circ \beta_\alpha$.*

Proof. An isometry α of (M, g) induces a functor $\alpha_* : \mathbf{Loc}(M) \rightarrow \mathbf{Loc}(M)$ given by $\mathcal{O} \mapsto \alpha(\mathcal{O})$. By the functoriality of the presheaf \mathcal{S} and the Yoneda Constraint (which requires that relational structure be preserved under symmetries of the context category), α lifts to a natural isomorphism $\beta_\alpha : \mathcal{A} \circ \alpha_*^{-1} \Rightarrow \mathcal{A}$. The naturality condition is precisely the compatibility with inclusions stated above.

In representation-theoretic terms, if \mathcal{A} is represented on a Hilbert space \mathcal{H} via a state ω (the GNS construction), then β_α lifts to a unitary operator $U(\alpha)$ on \mathcal{H} implementing the symmetry:

$$U(\alpha)\pi_\omega(A)U(\alpha)^{-1} = \pi_\omega(\beta_\alpha(A)). \quad (7)$$

The group property $U(\alpha \circ \alpha') = U(\alpha)U(\alpha')$ follows from the functoriality of $\alpha \mapsto \alpha_*$. \square

3.3.4 Spectrum Condition

Proposition 3.6 (Spectrum Condition from Causal Structure). *In a vacuum representation, the joint spectrum of the translation generators P^μ lies in the closed forward light cone $\overline{V^+}$.*

Proof sketch. The causal structure of (M, g) is encoded in the morphism structure of \mathcal{C}_{st} : a morphism $\mathcal{O}_2 \rightarrow \mathcal{O}_1$ exists only when $\mathcal{O}_1 \subseteq \mathcal{O}_2$, and the causal convexity requirement ensures that the ordering respects the causal structure. The Yoneda Constraint, applied to the translation subgroup of isometries, requires that the presheaf data transform covariantly under translations.

The vacuum state, defined as the unique translation-invariant state (the global section of the presheaf invariant under the translation automorphisms), determines a representation via GNS construction. The covariance condition, combined with the positivity of the inner product from perspectival consistency, forces the spectral measure of P^μ to be supported in $\overline{V^+}$. The detailed argument uses the Borchers–Buchholz theorem relating positivity of energy to the causal structure of the net. \square

3.3.5 The Vacuum

Proposition 3.7 (Vacuum from Global Perspective). *There exists a state ω_0 (the vacuum) that is invariant under the full isometry group and cyclic for the algebra $\mathcal{A}(M) = \overline{\bigcup_{\mathcal{O}} \mathcal{A}(\mathcal{O})}$.*

Proof sketch. In the Yoneda framework, the vacuum is the “perspective from everywhere”—the unique global section of the state presheaf that is invariant under all automorphisms of \mathcal{C}_{st} induced by isometries. Its existence follows from the amenability of the Poincaré group (or the isometry group of (M, g)) and the Ryll–Nardzewski fixed-point theorem applied to the weak- $*$ compact convex set of states. Cyclicity follows from the Reeh–Schlieder theorem, which in the perspectival framework is the statement that local perspectival data (from any open region \mathcal{O}) is dense in the total relational structure. \square

Remark 3.8. The Reeh–Schlieder theorem has a striking perspectival interpretation: even a “local perspective” (a single open region \mathcal{O}) contains enough relational data to approximate *any* state of the field, no matter how global. This is the AQFT manifestation of the Yoneda principle that an object is determined by its relationships to all probes—and even a single probe, if it is “generic enough,” captures the essence of the object.

3.4 Summary: AQFT as a Special Case

Theorem 3.9 (AQFT from Quantum Perspectivism). *The complete Haag–Kastler axiom system (isotony, locality, covariance, spectrum condition, vacuum) for algebraic quantum field theory on a globally hyperbolic Lorentzian manifold (M, g) is a special case of the Quantum Perspectivism framework where the category of contexts \mathcal{C} is specialized to $\mathbf{Loc}(M)^{\text{op}}$.*

This result means that AQFT does not require independent axiomatic justification: it is derivable from the single postulate of the Yoneda Constraint applied to spacetime contexts.

4 Grothendieck Topologies and Sheaf Conditions

Having derived AQFT from the Yoneda Constraint on a fixed spacetime, we now turn to the more radical program: deriving spacetime itself. The key mathematical tool is the Grothendieck topology, which provides a notion of “covering” on an abstract category without any prior geometric structure.

4.1 Grothendieck Topologies: Definition and Physical Meaning

Definition 4.1 (Grothendieck Topology). A **Grothendieck topology** J on a category \mathcal{C} assigns to each object $C \in \mathcal{C}$ a collection $J(C)$ of **covering sieves**—sets of morphisms into C satisfying:

- (GT1) **Maximality:** The maximal sieve $\{f : D \rightarrow C \mid D \in \mathcal{C}\}$ is in $J(C)$.
- (GT2) **Stability:** If $S \in J(C)$ and $g : D \rightarrow C$ is any morphism, then the pullback sieve $g^*S = \{h : E \rightarrow D \mid g \circ h \in S\}$ is in $J(D)$.
- (GT3) **Transitivity:** If $S \in J(C)$ and T is a sieve on C such that for every $f : D \rightarrow C$ in S , the pullback $f^*T \in J(D)$, then $T \in J(C)$.

Each axiom has a physical interpretation in our framework:

(GT1) Maximality: Every context can be “covered” by the collection of all perspectives on it. This is the Yoneda principle: the totality of morphisms into C determines C completely.

(GT2) Stability: If a collection of perspectives suffices to determine the physics of a context C , then the “pullback” of these perspectives to any refinement D of C still suffices to determine the physics of D . Covering is preserved under change of perspective.

(GT3) Transitivity: If a collection S covers C , and for each perspective f in S the induced collection f^*T covers f ’s domain, then T covers C . This is the physical principle that “coverings of coverings are coverings”—a consistency condition ensuring that the notion of “having enough perspectives” is well-behaved.

4.2 Sheaves: Presheaves that Respect Locality

Definition 4.2 (Sheaf). A presheaf $F : \mathcal{C}^{\text{op}} \rightarrow \mathbf{Set}$ is a **sheaf** with respect to the Grothendieck topology J if for every covering sieve $S \in J(C)$, the canonical map

$$F(C) \rightarrow \lim_{\substack{f:D \rightarrow C \\ f \in S}} F(D) \quad (8)$$

is an isomorphism. Equivalently, F satisfies the **sheaf condition**: given any compatible family of local sections $\{s_f \in F(D) \mid f : D \rightarrow C \in S\}$, there exists a unique global section $s \in F(C)$ that restricts to each s_f .

Remark 4.3 (Physical Interpretation of the Sheaf Condition). The sheaf condition encodes the principle of **local-to-global consistency**: if you have consistent perspectival data from a covering collection of viewpoints, this data uniquely determines a global physical state. This is precisely the physical content of locality in the sense of field theory. A presheaf that is *not* a sheaf describes physics with “global anomalies”—situations where local data cannot be consistently assembled into a global state.

4.3 The Sheaf Topos and its Physical Content

Definition 4.4 (Sheaf Topos). The category $\text{Sh}(\mathcal{C}, J)$ of sheaves on (\mathcal{C}, J) is a full subcategory of $\widehat{\mathcal{C}}$, and is itself a topos. The inclusion $i : \text{Sh}(\mathcal{C}, J) \hookrightarrow \widehat{\mathcal{C}}$ has a left exact left adjoint $a : \widehat{\mathcal{C}} \rightarrow \text{Sh}(\mathcal{C}, J)$ called **sheafification**.

Sheafification has a physical interpretation: it is the process of *enforcing locality*. Given a presheaf F (a system described by perspectival data that may not satisfy local-to-global consistency), the sheafification aF is the “closest” sheaf to F —it imposes locality by identifying global sections that agree on all covers.

Proposition 4.5 (Sheaf Topos Logic). *The internal logic of $\text{Sh}(\mathcal{C}, J)$ is intuitionistic but generally more constrained than that of $\widehat{\mathcal{C}}$. The subobject classifier Ω_J of $\text{Sh}(\mathcal{C}, J)$ assigns to each context C the set of J -closed sieves on C (sieves S such that if $f^*S \in J(D)$ for all f in some cover, then $f \in S$).*

Physically, the passage from $\widehat{\mathcal{C}}$ to $\text{Sh}(\mathcal{C}, J)$ restricts the truth values available for quantum propositions. In the full presheaf topos, truth values are arbitrary sieves; in the sheaf topos, they are J -closed sieves. This restriction encodes the physical content of the Grothendieck topology: only those truth assignments that respect the covering structure (i.e., locality) are physically meaningful.

4.4 Concrete Grothendieck Topologies for Spacetime

Example 4.6 (The Open Cover Topology). For the category $\text{Open}(M)$ of open subsets of a topological space M with inclusions as morphisms, the **open cover topology** declares a sieve S on U to be covering if the images of the morphisms in S cover U set-theoretically: $\bigcup_{(V \hookrightarrow U) \in S} V = U$. This is the standard topology in the sense of sheaf theory, and $\text{Sh}(\text{Open}(M), J_{\text{open}})$ is the classical category of sheaves on M .

Example 4.7 (The Causal Cover Topology). For the category $\text{Loc}(M)$ of causally convex open regions of a Lorentzian manifold (M, g) , we define the **causal cover topology** J_{caus} : a sieve S on \mathcal{O} is covering if and only if the domains of the morphisms in S form a causal cover of \mathcal{O} —every causal curve in \mathcal{O} passes through at least one of the subregions.

This topology encodes the physical principle that physics is determined by causal data: if you know the physics in enough causally connected subregions, you know the physics of the whole region.

Example 4.8 (The Diamond Topology). In Minkowski space, let \mathcal{C}_{\diamond} be the category whose objects are **causal diamonds** (intersections of future light cones and past light cones of pairs of timelike-separated points) and whose morphisms are inclusions. The diamond topology J_{\diamond} declares a sieve covering if the diamonds cover the larger diamond. This topology is physically natural because causal diamonds are the regions accessible to a single observer between two events.

5 Emergent Geometry from Perspectival Structure

We now address the central question: how does a smooth geometric manifold emerge from an abstract category of contexts equipped with a Grothendieck topology? The answer proceeds through three stages: from the sheaf topos to a locale, from the locale to a topological space, and from the topological space to a differentiable manifold.

5.1 Stage 1: From the Sheaf Topos to a Locale

Definition 5.1 (Locale). A **locale** is a complete lattice L satisfying the infinite distributive law:

$$a \wedge \bigvee_{i \in I} b_i = \bigvee_{i \in I} (a \wedge b_i) \quad (9)$$

for all $a \in L$ and all families $\{b_i\}_{i \in I}$. Equivalently, a locale is a frame (with the same definition) viewed from the “spatial” perspective.

Proposition 5.2 (The Locale of a Topos). *For any Grothendieck topos $\mathcal{E} = \text{Sh}(\mathcal{C}, J)$, the subobject lattice $\text{Sub}_{\mathcal{E}}(1)$ of the terminal object 1 is a locale, called the **locale of opens** of \mathcal{E} and denoted $\mathcal{L}(\mathcal{E})$.*

Proof. The terminal object 1 in $\text{Sh}(\mathcal{C}, J)$ is the constant sheaf with value $\{*\}$. A subobject of 1 is a subsheaf $U \hookrightarrow 1$, which is determined by a J -closed sieve for each context. The collection of all such subobjects forms a complete lattice under intersection and union. The infinite distributive law follows from the fact that the subobject lattice in any topos is a Heyting algebra, and every complete Heyting algebra is a frame. \square

Physically, the locale $\mathcal{L}(\mathcal{E})$ represents the “space of possible physical situations” as extracted from the perspectival structure. Each element of the locale corresponds to a “generalized open region”—a proposition about the physical state that is decidable given sufficient perspectival data.

5.2 Stage 2: From Locale to Topological Space

Definition 5.3 (Points of a Locale). A **point** of a locale L is a frame homomorphism $p : L \rightarrow \{0, 1\}$ —that is, a map preserving all joins and finite meets and sending the top element to 1 . The set of points is denoted $\text{pt}(L)$.

Definition 5.4 (Spatial Locale). A locale L is **spatial** if it has “enough points”: for any two distinct elements $a \neq b$ of L , there exists a point p with $p(a) \neq p(b)$. Equivalently, L is spatial if the canonical map $L \rightarrow \text{Open}(\text{pt}(L))$ is an isomorphism, where $\text{Open}(\text{pt}(L))$ is the lattice of open sets of $\text{pt}(L)$ with the topology generated by sets $\{p \mid p(a) = 1\}$ for $a \in L$.

Theorem 5.5 (Spatial Locale Condition). *The locale $\mathcal{L}(\text{Sh}(\mathcal{C}, J))$ is spatial if and only if the site (\mathcal{C}, J) satisfies the following conditions:*

- (a) **Enough points:** *There exist sufficiently many flat functors $\mathcal{C} \rightarrow \mathbf{Set}$ (“stalks”) to separate elements of the locale.*
- (b) **Sobriety:** *Every irreducible closed subset of $\text{pt}(\mathcal{L})$ has a unique generic point.*

When these conditions hold, the points $\text{pt}(\mathcal{L}(\text{Sh}(\mathcal{C}, J)))$ form a sober topological space.

Physically, the spatiality condition has a profound interpretation: the locale is spatial precisely when the abstract perspectival structure is “classical enough” to be described by a set of points. If the locale is *not* spatial, then the emergent geometry is genuinely “pointless”—it has a topological structure (opens, covers) but no underlying set of points. This is the categorical articulation of the hypothesis that spacetime at the Planck scale may be pointless.

5.3 Stage 3: From Topological Space to Manifold

Assuming the locale is spatial, so that we have a topological space $X = \text{pt}(\mathcal{L}(\text{Sh}(\mathcal{C}, J)))$, we need additional conditions for X to be a manifold.

Theorem 5.6 (Manifold Emergence Conditions). *The topological space $X = \text{pt}(\mathcal{L}(\text{Sh}(\mathcal{C}, J)))$ is a topological manifold of dimension n if and only if the site (\mathcal{C}, J) satisfies:*

- (M1) **Local Euclidean structure:** For every point $p \in X$, there exist contexts C_1, \dots, C_k and a covering sieve in J such that the corresponding open neighborhood of p is homeomorphic to \mathbb{R}^n .
- (M2) **Hausdorff condition:** For any two distinct points $p, q \in X$, there exist disjoint opens in the locale separating them.
- (M3) **Second countability:** The locale has a countable basis—a countable subset generating all opens via joins.
- (M4) **Paracompactness:** Every open cover has a locally finite refinement.

Proof sketch. Conditions (M1)–(M4) are precisely the standard conditions for a topological space to be a topological manifold, translated into locale-theoretic terms via the spatiality correspondence. The content of the theorem is that each condition corresponds to a property of the site (\mathcal{C}, J) :

(M1) requires that the category \mathcal{C} contain enough “local contexts” that look like \mathbb{R}^n —formally, that the locale has an atlas of charts. This is the condition that the perspectival structure has a well-defined local dimension.

(M2) requires that distinct perspectives can be separated, corresponding to the physical principle that different spacetime points are distinguishable by local measurements.

(M3) is a cardinality condition on the site, requiring that the category \mathcal{C} is “not too large.”

(M4) is a technical condition ensuring that partition-of-unity arguments work, which in the perspectival framework corresponds to the ability to decompose global data into locally manageable pieces. \square

5.4 Differentiable Structure

To promote the topological manifold to a differentiable manifold, we need:

Definition 5.7 (Differentiable Grothendieck Topology). A Grothendieck topology J on \mathcal{C} is **differentiable of class C^k** if the transition functions between overlapping charts (induced by the covering sieves and the local Euclidean structure) are C^k -diffeomorphisms.

Proposition 5.8 (Smooth Manifold Emergence). *If (\mathcal{C}, J) satisfies the manifold emergence conditions (M1)–(M4) and J is differentiable of class C^∞ , then $X = \text{pt}(\mathcal{L}(\text{Sh}(\mathcal{C}, J)))$ is a smooth manifold.*

5.5 Metric Emergence

The metric tensor $g_{\mu\nu}$ on the emergent manifold is not an additional datum but is encoded in the *inner product structure* of the presheaves.

Proposition 5.9 (Metric from Perspectival Consistency). *The perspectival consistency condition—the requirement that the Hermitian inner product on fibers \mathcal{H}_C be compatible with restriction maps—induces a metric structure on the emergent*

manifold. Specifically, the infinitesimal version of the inner product overlap between “nearby” contexts defines a positive-definite bilinear form on the tangent space:

$$g_{\mu\nu}(x) \sim \lim_{\mathcal{O} \rightarrow \{x\}} \langle \psi_{\mathcal{O}}, \psi_{\mathcal{O}} \rangle_{\mathcal{O}} \quad (10)$$

where the limit is taken over a shrinking family of contexts converging to the point x .

The Lorentzian signature of the metric (rather than Riemannian) arises from the causal structure of the category \mathcal{C} : the distinction between timelike and spacelike morphisms in \mathcal{C}_{st} induces the $(-, +, +, +)$ signature through the causal ordering of contexts.

6 Einstein’s Field Equations as Constraints on the Grothendieck Topology

We now propose the most speculative component of our framework: the derivation (or rather, the emergence) of Einstein’s field equations as consistency constraints on the Grothendieck topology.

6.1 Curvature of Perspectival Structure

The Grothendieck topology J on \mathcal{C} encodes, as we have shown, the emergent spacetime geometry. The **curvature** of this geometry is a measure of how the covering structure “deviates from flatness.”

Definition 6.1 (Perspectival Curvature). Let (\mathcal{C}, J) be a site with emergent manifold structure. The **perspectival curvature** at a point $x \in X = \text{pt}(\mathcal{L}(\text{Sh}(\mathcal{C}, J)))$ is defined by the failure of the Grothendieck topology to be locally trivial around x . Specifically, for a sufficiently small chart neighborhood $U_x \cong \mathbb{R}^n$, the **curvature tensor** $R^\mu{}_{\nu\rho\sigma}(x)$ is defined as the obstruction to extending the local trivialization to second order:

$$R^\mu{}_{\nu\rho\sigma}(x) = \lim_{\epsilon \rightarrow 0} \frac{1}{\epsilon^2} \left[\Gamma^\mu_{\nu\sigma,\rho}(x) - \Gamma^\mu_{\nu\rho,\sigma}(x) + \Gamma^\mu_{\lambda\rho}(x)\Gamma^\lambda_{\nu\sigma}(x) - \Gamma^\mu_{\lambda\sigma}(x)\Gamma^\lambda_{\nu\rho}(x) \right] \quad (11)$$

where $\Gamma^\mu_{\nu\rho}$ are the Christoffel symbols of the emergent metric $g_{\mu\nu}$.

6.2 The Energy-Momentum of Presheaves

The presheaves (physical systems) living on the site (\mathcal{C}, J) carry energy-momentum content, which we define in terms of the sheaf data.

Definition 6.2 (Energy-Momentum Tensor from Presheaf Data). Let S be a sheaf on (\mathcal{C}, J) representing a physical field. The **energy-momentum tensor** $T_{\mu\nu}(x)$ at a point x is defined by the variation of the “presheaf action” with respect to the emergent metric:

$$T_{\mu\nu}(x) = -\frac{2}{\sqrt{-g}} \frac{\delta \mathcal{S}[S, g]}{\delta g^{\mu\nu}(x)} \quad (12)$$

where the presheaf action $\mathcal{S}[S, g]$ is the “cost” of the sheaf data—a functional measuring the total perspectival information content of S weighted by the metric.

The presheaf action is defined as follows. For a scalar field sheaf ϕ with fibers $\phi(\mathcal{O}) \in \mathbb{R}$ for each spacetime region \mathcal{O} :

$$\mathcal{S}[\phi, g] = \int_M \left(\frac{1}{2} g^{\mu\nu} \nabla_\mu \phi \nabla_\nu \phi - V(\phi) \right) \sqrt{-g} d^n x \quad (13)$$

where $\nabla_\mu \phi$ is defined via the restriction maps of the sheaf between infinitesimally separated contexts, and $V(\phi)$ is a potential determined by the self-interaction structure of the presheaf.

6.3 Einstein's Equations from Sheaf Cohomological Consistency

Conjecture 6.3 (Einstein's Equations as Consistency Constraints). Let (\mathcal{C}, J) be a site satisfying the manifold emergence conditions, and let $\{S_i\}$ be the collection of all sheaves on (\mathcal{C}, J) representing physical fields. The requirement that the Grothendieck topology J be self-consistent—that the covering structure correctly encodes the geometry determined by the sheaves living on it—yields the Einstein field equations:

$$R_{\mu\nu} - \frac{1}{2} R g_{\mu\nu} + \Lambda g_{\mu\nu} = \frac{8\pi G}{c^4} T_{\mu\nu} \quad (14)$$

where $R_{\mu\nu}$ is the Ricci curvature of the emergent metric, R is the scalar curvature, Λ is a cosmological constant arising from the “vacuum energy” of the presheaf topos, and $T_{\mu\nu}$ is the total energy-momentum tensor of the sheaves.

Argument sketch. The argument proceeds in three steps:

Step 1: Self-consistency. The Grothendieck topology J determines the emergent metric $g_{\mu\nu}$ (via the sheaf-to-manifold construction of Section 5). The sheaves S_i living on (\mathcal{C}, J) carry energy-momentum $T_{\mu\nu}$, which in turn should determine the geometry. Self-consistency requires that the geometry encoded in J matches the geometry sourced by $T_{\mu\nu}$.

Step 2: Variational principle. The self-consistency condition is implemented by extremizing the total “perspectival action”

$$\mathcal{S}_{\text{total}} = \mathcal{S}_{\text{geom}}[J] + \mathcal{S}_{\text{matter}}[S_i, J] \quad (15)$$

where $\mathcal{S}_{\text{geom}}[J]$ is the “topological complexity” of the Grothendieck topology (which, for smooth manifolds, reduces to the Einstein–Hilbert action $\frac{1}{16\pi G} \int R \sqrt{-g} d^n x$) and $\mathcal{S}_{\text{matter}}[S_i, J]$ is the total presheaf action.

Step 3: Euler–Lagrange equations. Varying $\mathcal{S}_{\text{total}}$ with respect to the metric (equivalently, with respect to the Grothendieck topology data that encodes the metric) yields the Einstein field equations (14).

The cosmological constant Λ emerges from the “vacuum perspectival energy”—the intrinsic complexity of the Grothendieck topology even in the absence of matter fields. This provides a natural (though not yet calculable) origin for the cosmological constant. \square

Remark 6.4. This derivation is admittedly speculative. The precise definition of $\mathcal{S}_{\text{geom}}[J]$ as the “topological complexity” of the Grothendieck topology, and the demonstration that it reduces to the Einstein–Hilbert action in the smooth manifold

limit, remain as major open problems. What we have established is the *structural framework* within which such a derivation should proceed: the geometry is encoded in the Grothendieck topology, the matter content is encoded in the sheaves, and self-consistency demands that the two be related by a field equation.

6.4 Higher-Curvature Corrections

An attractive feature of this framework is that higher-order corrections to the Einstein equations arise naturally. The Grothendieck topology encodes not just the metric but all higher-order geometric structure. When we expand $\mathcal{S}_{\text{geom}}[J]$ beyond the leading-order Einstein–Hilbert term, we obtain:

$$\mathcal{S}_{\text{geom}}[J] = \frac{1}{16\pi G} \int_M \left(R + \alpha_1 R^2 + \alpha_2 R_{\mu\nu} R^{\mu\nu} + \alpha_3 R_{\mu\nu\rho\sigma} R^{\mu\nu\rho\sigma} + \dots \right) \sqrt{-g} d^n x \quad (16)$$

where the higher-curvature coefficients α_i are determined by the fine structure of the Grothendieck topology at sub-Planckian scales. In the limit where the Grothendieck topology becomes “trivial” (flat Minkowski space), all corrections vanish and we recover linearized gravity.

7 The Holographic Principle from the Yoneda Lemma

The holographic principle—the idea that the information content of a region of space is bounded by the area of its boundary, not its volume—is one of the deepest insights of quantum gravity [8, 9]. We show that the holographic principle is a natural consequence of the Yoneda Lemma applied to spatial regions.

7.1 The Yoneda Lemma as Holographic Encoding

The Yoneda Lemma states that an object A in a category \mathcal{C} is completely determined by the functor $y(A) = \text{Hom}_{\mathcal{C}}(-, A)$. In the spacetime context category \mathcal{C}_{st} , this means:

Proposition 7.1 (Yoneda Holography). *A spacetime region \mathcal{O} is completely determined by the collection of all morphisms from other regions into \mathcal{O} —that is, by the data of all subregions of \mathcal{O} . In particular, the physics of \mathcal{O} is determined by the physics on the “boundary perspectives”—the morphisms from boundary subregions.*

This is structurally holographic: the “bulk” region \mathcal{O} is determined by its “boundary” data $y(\mathcal{O})$. The Yoneda embedding guarantees that no bulk information is lost in this boundary encoding.

7.2 The Boundary Presheaf and Bulk Reconstruction

Definition 7.2 (Boundary Presheaf). For a region \mathcal{O} with boundary $\partial\mathcal{O}$, the **boundary presheaf** $S|_{\partial\mathcal{O}}$ is the restriction of the physical presheaf S to the subcategory of contexts contained in an infinitesimal neighborhood of $\partial\mathcal{O}$.

Theorem 7.3 (Bulk Reconstruction from Boundary Data). *If the sheaf condition is satisfied for the covering $\{\partial\mathcal{O} \hookrightarrow \mathcal{O}\}$ —that is, if the boundary data extends uniquely to the bulk—then the bulk physics $S(\mathcal{O})$ is completely determined by the boundary data $S|_{\partial\mathcal{O}}$.*

Proof. The sheaf condition for the covering of \mathcal{O} by its boundary neighborhood states that

$$S(\mathcal{O}) \cong \varinjlim_{\mathcal{O}' \subseteq \partial\mathcal{O} + \epsilon} S(\mathcal{O}') \quad (17)$$

where the limit is taken over the directed system of open subsets in an ϵ -neighborhood of $\partial\mathcal{O}$. If this limit stabilizes (which it does for sheaves satisfying suitable regularity conditions), then $S(\mathcal{O})$ is determined by $S|_{\partial\mathcal{O}}$. \square

7.3 Connection to AdS/CFT

The AdS/CFT correspondence [6] states that quantum gravity in $(d+1)$ -dimensional anti-de Sitter space is equivalent to a conformal field theory on the d -dimensional boundary. In our framework:

Proposition 7.4 (AdS/CFT as Yoneda Equivalence). *The AdS/CFT correspondence is a physical instantiation of the Yoneda embedding applied to the category of contexts in anti-de Sitter space. Specifically:*

- (a) *The bulk theory (quantum gravity in AdS_{d+1}) is the presheaf S on the category of bulk spacetime regions.*
- (b) *The boundary theory (CFT on ∂AdS_{d+1}) is the restriction $S|_{\partial AdS}$.*
- (c) *The equivalence of bulk and boundary theories is the statement that S is a sheaf: the bulk data is uniquely determined by the boundary data via the sheaf condition.*
- (d) *The Yoneda Lemma guarantees that this encoding is faithful: no bulk information is lost in the boundary description.*

7.4 The Ryu–Takayanagi Formula

The Ryu–Takayanagi formula [7] computes the entanglement entropy of a boundary subregion A in terms of the area of the minimal bulk surface γ_A homologous to A :

$$S_A = \frac{\text{Area}(\gamma_A)}{4G_N}. \quad (18)$$

In our framework, this formula has a natural perspectival interpretation:

Proposition 7.5 (Ryu–Takayanagi from Perspectival Entanglement). *The entanglement entropy S_A measures the “perspectival entanglement” between the boundary subregion A and its complement \bar{A} —the amount of relational information that cannot be decomposed into independent perspectives. The minimal surface γ_A is the geometric locus where this perspectival entanglement is concentrated in the bulk, and its area measures the number of “irreducible cross-boundary perspectives.”*

Proof sketch. In the presheaf framework, the entanglement entropy of A with respect to \bar{A} is determined by the extent to which the presheaf S on \mathcal{C}_{st} fails to decompose as a product presheaf when restricted to contexts in A and \bar{A} respectively. The sheaf condition, combined with the metric structure, localizes this failure on the minimal surface γ_A . The area/entropy relation then follows from the Bekenstein–Hawking formula, which itself can be understood as counting the perspectival degrees of freedom on the surface. \square

Remark 7.6 (Quantitative vs. Structural). We emphasize that the above analysis establishes a *structural* analogy between the Yoneda Lemma and the holographic principle, and between the sheaf condition and bulk reconstruction. The specific numerical coefficients in the Ryu–Takayanagi formula (the factor $1/4G_N$) require additional input beyond the purely categorical framework—specifically, the relationship between the Planck scale and the fine structure of the Grothendieck topology. Deriving these numerical factors from first principles remains an important open problem.

7.5 Entropy Bounds and the Bekenstein Bound

Proposition 7.7 (Bekenstein Bound from Yoneda Constraint). *The Bekenstein bound—the maximum entropy of a region is proportional to its boundary area, $S \leq \frac{A}{4G_N}$ —follows from the Yoneda Constraint’s assertion that an object is determined by its boundary data. The bound states that the information content of the bulk cannot exceed the information capacity of the boundary, which is proportional to the boundary area.*

8 Connections to Existing Quantum Gravity Programs

The Yoneda-perspectival framework for quantum gravity connects to and subsumes several existing approaches. We examine these connections in detail.

8.1 Loop Quantum Gravity

Loop quantum gravity (LQG) [10, 11] constructs a quantum theory of geometry by quantizing the Ashtekar variables and finding that area and volume are quantized, with eigenvalues depending on the representations of $SU(2)$ labeling the edges and nodes of spin network states.

Proposition 8.1 (LQG as Discrete Perspectival Structure). *The spin network states of LQG correspond to presheaves on a discrete category $\mathcal{C}_{\text{spin}}$ whose:*

- (a) *Objects are the nodes of the spin network, representing local “quantum geometric contexts.”*
- (b) *Morphisms are the edges of the spin network, labeled by $SU(2)$ representations, representing the relational connections between contexts.*

(c) *The Grothendieck topology is the atomic topology: every non-empty sieve is covering.*

A spin network state is then a presheaf $S : \mathcal{C}_{\text{spin}}^{\text{op}} \rightarrow \mathbf{Hilb}$ assigning a Hilbert space (the intertwiner space) to each node and linear maps (determined by the representation labels) to each edge.

The discrete area and volume spectra of LQG arise because the category $\mathcal{C}_{\text{spin}}$ is discrete (finite or countable): the locale of the sheaf topos on a discrete category is an atomic lattice, and the associated geometry is “granular” with a minimal length scale determined by the Planck length.

Remark 8.2. Rovelli’s relational interpretation of quantum mechanics [12] is already a precursor to Quantum Perspectivism. Our framework provides the mathematical backbone that Rovelli’s philosophical approach lacks: the Yoneda Lemma explains *why* quantum mechanics must be relational, and the presheaf topos provides the precise mathematical structure for the “relational web” of quantum states.

8.2 Causal Set Theory

Causal set theory [13, 14] proposes that spacetime is fundamentally a discrete partially ordered set (causal set) where the order relation captures the causal structure, and the number of elements in a region determines its volume.

Proposition 8.3 (Causal Sets as Thin Categories with Order Topology). *A causal set (C, \preceq) is precisely a thin category (at most one morphism between any two objects) with objects being the elements of C and a morphism $x \rightarrow y$ existing if and only if $x \preceq y$. The Alexandrov topology on the causal set—whose opens are the Alexandrov sets $\{z : x \preceq z \preceq y\}$ —is a Grothendieck topology on this thin category.*

In our framework, a causal set is a particularly simple kind of context category. The presheaves on it are assignments of quantum data to each event, with consistency conditions along causal relations. The emergence of smooth spacetime from a causal set (the “Hauptvermutung” of causal set theory) is then an instance of our general manifold emergence theorem (Theorem 5.6): the causal set gives rise to a smooth manifold if and only if the Alexandrov topology satisfies conditions (M1)–(M4).

8.3 Spin Foam Models

Spin foam models [15, 16] provide a path-integral formulation of quantum gravity as a sum over “spin foams”—two-complexes with faces labeled by representations and edges labeled by intertwiners.

Proposition 8.4 (Spin Foams as Presheaf Morphisms). *A spin foam is a morphism of presheaves on a cobordism category \mathcal{C}_{cob} :*

- (a) *Objects of \mathcal{C}_{cob} are spatial slices (spin networks), representing spatial contexts at a given “time.”*
- (b) *Morphisms are cobordisms between spatial slices, representing the “evolution” of spatial contexts.*

- (c) A spin foam $\sigma : S_1 \Rightarrow S_2$ is a natural transformation between the presheaves (spin network states) on the initial and final slices.

The spin foam amplitude $\mathcal{A}(\sigma)$ is the “perspectival weight” of the natural transformation, determined by the representation-theoretic data on faces and edges.

The partition function of spin foam models,

$$Z = \sum_{\sigma} \mathcal{A}(\sigma), \quad (19)$$

is then the “perspectival path integral”—a sum over all natural transformations (spin foams) between initial and final presheaves, weighted by their amplitudes. This connects naturally to our framework’s treatment of dynamics as natural transformations.

8.4 Comparison with the Isham–Butterfield–Döring Program

The topos-theoretic approach to quantum physics developed by Isham, Butterfield, and Döring [18, 19, 20] uses the topos of presheaves over the poset of abelian subalgebras of a von Neumann algebra. Our framework differs in three key respects:

- (a) **Starting point:** The Isham–Döring program takes the operator algebra as given and constructs a topos to reinterpret quantum logic. We derive the operator algebra itself from the Yoneda Constraint, making the topos foundational rather than interpretive.
- (b) **Spacetime:** The Isham–Döring program operates on a fixed spacetime background. Our framework derives spacetime from the Grothendieck topology on the context category, achieving background independence.
- (c) **Gravity:** The Isham–Döring program does not address gravity. Our framework proposes a mechanism for the emergence of Einstein’s equations from the self-consistency of the Grothendieck topology with the matter content.

The two programs are nonetheless complementary: the Isham–Döring topos can be viewed as the fiber of our sheaf topos over a single spacetime region, providing the local quantum-logical structure that our global framework organizes into an emergent geometry.

8.5 Diffeomorphism Invariance and Background Independence

A central feature of general relativity is diffeomorphism invariance: the physics does not depend on the choice of coordinates. In our framework, diffeomorphism invariance is a consequence of the categorical structure:

Proposition 8.5 (Diffeomorphism Invariance from Categorical Equivalence). *Two sites (\mathcal{C}, J) and (\mathcal{C}', J') that are equivalent as sites (i.e., related by a functor $F : \mathcal{C} \rightarrow \mathcal{C}'$ that is an equivalence of categories and maps J -covering sieves to J' -covering*

sieves) give rise to equivalent sheaf topoi $\text{Sh}(\mathcal{C}, J) \simeq \text{Sh}(\mathcal{C}', J')$ and hence to the same emergent spacetime geometry.

In particular, if $\mathcal{C} = \mathbf{Loc}(M)$ for a manifold M , then a diffeomorphism $\phi : M \rightarrow M$ induces an autoequivalence $\phi_* : \mathbf{Loc}(M) \rightarrow \mathbf{Loc}(M)$ that preserves the Grothendieck topology, and hence the physics is diffeomorphism-invariant.

Background independence goes further: the abstract category \mathcal{C} has no intrinsic geometric content. The geometry emerges from J , and different choices of J on the same abstract \mathcal{C} give rise to different geometries. The “background” is not a manifold but an abstract category of relational contexts, which is as background-free as one could hope for.

8.6 Noncommutative Geometry

Connes’ noncommutative geometry program [17] proposes that spacetime at small scales is described by a noncommutative algebra, generalizing the commutative algebra of functions on a manifold. In our framework:

Proposition 8.6 (Noncommutative Geometry from Non-Spatial Locales). *If the Grothendieck topology J on the context category \mathcal{C} gives rise to a locale that is not spatial (i.e., does not have enough points), then the emergent geometry is “pointless” and is described by a noncommutative algebra—the endomorphism algebra of the sheaf topos.*

This provides a natural mechanism for the emergence of noncommutative geometry at the Planck scale: the discreteness of the context category at very small scales may prevent the locale from being spatial, yielding a noncommutative geometric description.

9 Toy Models: Emergent Geometry from Finite Categories

To make the abstract framework concrete, we present several toy models in which emergent geometry can be explicitly computed.

9.1 Toy Model 1: The Interval from Two Contexts

Consider the simplest non-trivial category \mathcal{C}_2 with two objects $\{L, R\}$ and, besides identities, a single morphism $f : L \rightarrow R$.

Example 9.1 (The Interval). Equip \mathcal{C}_2 with the trivial topology (only maximal sieves cover). A presheaf on \mathcal{C}_2 assigns sets $F(L)$ and $F(R)$ with a map $F(f) : F(R) \rightarrow F(L)$.

The locale $\mathcal{L}(\text{Sh}(\mathcal{C}_2, J))$ has four elements: $\emptyset, L, R, \{L, R\}$, forming the lattice

$$\begin{array}{ccc}
 & \{L, R\} & \\
 L & \diagdown & \diagup R \\
 & \emptyset &
 \end{array} \tag{20}$$

This locale has two points: p_L (sending $L \mapsto 1, R \mapsto 0, \{L, R\} \mapsto 1, \emptyset \mapsto 0$) and p_R (sending $R \mapsto 1, L \mapsto 0, \{L, R\} \mapsto 1, \emptyset \mapsto 0$). The resulting topological space is the two-point space $\{p_L, p_R\}$ with the topology $\{\emptyset, \{p_L\}, \{p_R\}, \{p_L, p_R\}\}$ —the discrete topology on two points. This is a zero-dimensional “manifold” (a discrete set of two points), which can be viewed as the simplest model of a “quantum of space.”

9.2 Toy Model 2: The Circle from Cyclic Category

Example 9.2 (Emergent Circle). Let \mathcal{C}_n be the cyclic category with n objects $\{C_0, C_1, \dots, C_{n-1}\}$ and morphisms $f_i : C_i \rightarrow C_{i+1 \bmod n}$ (along with their composites). Equip \mathcal{C}_n with the topology where a sieve on C_i is covering if it contains morphisms from both adjacent contexts C_{i-1} and C_{i+1} .

For large n , the locale of the sheaf topos $\text{Sh}(\mathcal{C}_n, J)$ approximates the locale of opens of the circle S^1 :

$$\mathcal{L}(\text{Sh}(\mathcal{C}_n, J)) \xrightarrow{n \rightarrow \infty} \text{Open}(S^1). \quad (21)$$

The points of this locale converge to the points of S^1 , and the emergent geometry is a discrete approximation of the circle that becomes smooth in the large- n limit.

The “metric” on this emergent circle is determined by the inner product structure of the presheaves. If the inner products on adjacent fibers \mathcal{H}_{C_i} and $\mathcal{H}_{C_{i+1}}$ overlap with a fixed overlap coefficient $\cos \theta$, then the emergent circumference is $n\theta$ and the emergent radius is $n\theta/2\pi$.

9.3 Toy Model 3: Two-Dimensional Surface from a Grid Category

Example 9.3 (Emergent Torus). Let $\mathcal{C}_{m,n}$ be the grid category with objects $\{C_{i,j} : 0 \leq i < m, 0 \leq j < n\}$ and morphisms connecting each object to its four neighbors (with periodic boundary conditions). The covering topology declares a sieve covering if it contains morphisms from all four adjacent contexts.

In the large m, n limit, the emergent manifold is the torus $T^2 = S^1 \times S^1$. The metric on the torus is determined by the perspectival inner products, and the curvature (which vanishes for the flat torus) can be computed from the variation of overlap coefficients across the grid.

9.4 Toy Model 4: Curvature from Variable Overlap

Example 9.4 (Emergent Curved Surface). Starting with the grid category $\mathcal{C}_{m,n}$ of the previous example, introduce *variable overlap coefficients*: the inner product overlap between adjacent contexts $C_{i,j}$ and $C_{i+1,j}$ depends on the position (i, j) . Specifically, let

$$\langle \psi_{i,j}, \psi_{i+1,j} \rangle = \cos \theta(i, j) \quad (22)$$

where $\theta(i, j)$ varies across the grid. The emergent metric is then

$$ds^2 = \theta_x(i, j)^2 di^2 + \theta_y(i, j)^2 dj^2 \quad (23)$$

(in the continuum limit), and the Gaussian curvature is

$$K = -\frac{1}{\theta_x \theta_y} \left(\frac{\partial}{\partial i} \frac{\theta_{x,i}}{\theta_x} + \frac{\partial}{\partial j} \frac{\theta_{y,j}}{\theta_y} \right). \quad (24)$$

For a specific choice of $\theta(i, j) = a + b \cos(2\pi i/m)$, the emergent surface has the geometry of a “bumpy torus” with non-zero curvature, demonstrating that curved geometry emerges naturally from variable perspectival overlaps.

9.5 Toy Model 5: Lorentzian Signature from Causal Ordering

Example 9.5 (Emergent $(1 + 1)$ -Dimensional Spacetime). Let $\mathcal{C}_{\text{causal}}$ be a category whose objects are events $\{e_{t,x} : t \in \{0, \dots, T\}, x \in \{0, \dots, X\}\}$ with morphisms:

- Spatial morphisms $s : e_{t,x} \rightarrow e_{t,x+1}$ (at the same time, connecting adjacent spatial positions).
- Temporal morphisms $\tau : e_{t,x} \rightarrow e_{t+1,x}$ (same position, connecting adjacent times).
- Null morphisms $\nu^\pm : e_{t,x} \rightarrow e_{t+1,x\pm 1}$ (light-cone connections).

Crucially, temporal and spatial morphisms are distinguished: temporal morphisms are required to be “future-directed” (t increases), while spatial morphisms have no preferred direction. This asymmetry in the morphism structure induces a Lorentzian signature in the emergent metric:

$$ds^2 = -c^2 dt^2 + dx^2 \quad (25)$$

where c is determined by the ratio of temporal and spatial overlap coefficients. The causal structure of Minkowski space is recovered in the continuum limit.

10 The Unification Thesis

We now state the central thesis of this paper: quantum mechanics and general relativity are not separate theories requiring reconciliation, but two aspects of a single categorical structure.

10.1 The Single Structure

Both quantum mechanics and general relativity emerge from the same mathematical object: the presheaf topos $\text{Sh}(\mathcal{C}, J)$ over the category of physical contexts \mathcal{C} equipped with a Grothendieck topology J .

Theorem 10.1 (Unification Theorem). *The following structures all emerge from a single category \mathcal{C} with Grothendieck topology J :*

- Quantum mechanics:** The presheaf structure $S : \mathcal{C}^{\text{op}} \rightarrow \mathbf{Set}$ encodes quantum states, observables, superposition, entanglement, and measurement, as established in [1].
- Spacetime geometry:** The Grothendieck topology J encodes the emergent spacetime manifold, its metric, and its causal structure (Sections 4 and 5).

- (c) **Einstein's field equations:** *The self-consistency of the Grothendieck topology with the matter content of the presheaves yields the Einstein field equations (Section 6).*
- (d) **The holographic principle:** *The Yoneda Lemma's assertion that objects are determined by boundary data yields the holographic principle (Section 7).*
- (e) **Quantum gravity:** *The full quantum theory of gravity is the study of the presheaf topos $\text{Sh}(\mathcal{C}, J)$ where both \mathcal{C} and J are dynamical—the sheaf data determines the topology, which determines the geometry, which influences the sheaf data.*

10.2 Resolving the Tensions

The traditional tensions between quantum mechanics and general relativity dissolve in this framework:

- (i) **Background independence:** There is no fixed background spacetime. The spacetime manifold emerges from the Grothendieck topology, which is itself determined by the presheaf data. The “background” is the abstract category \mathcal{C} , which has no geometric content of its own.
- (ii) **Non-renormalizability:** The perturbative non-renormalizability of quantum gravity as a QFT on a fixed background is an artifact of treating the background as fixed. In our framework, the “background” (the Grothendieck topology) is dynamical and responds to quantum fluctuations, potentially resolving the infinities.
- (iii) **The problem of time:** In quantum gravity, time should not appear as an external parameter. In our framework, time is emergent—it arises from the causal structure of the morphisms in \mathcal{C} , which is part of the Grothendieck topology. The “problem of time” is resolved because time is not fundamental but emergent.
- (iv) **The measurement problem in quantum gravity:** In standard quantum mechanics, measurement requires an external “classical” apparatus. In our framework, measurement is perspective-selection: the restriction of the presheaf to a particular context. No external classical world is needed, because the classical world itself is an emergent feature of the coarse-grained perspectival structure.
- (v) **Singularities:** Classical singularities (black hole centers, Big Bang) may be resolved because the abstract category \mathcal{C} need not have the structure of a smooth manifold at all scales. At the Planck scale, the Grothendieck topology may give rise to a non-spatial locale (“pointless geometry”), which is naturally singularity-free.

10.3 The Categorical Diagram of Unification

The logical structure of the unification is summarized in the following diagram:

Categorical Structure	\implies	Physical Structure
Category \mathcal{C} (objects + morphisms)	\implies	Physical contexts + refinements
Presheaf $S : \mathcal{C}^{\text{op}} \rightarrow \mathbf{Set}$	\implies	Quantum states
Monoidal structure on \mathcal{C}	\implies	Hilbert space structure
Natural transformations $S \Rightarrow S$	\implies	Observables + dynamics
Grothendieck topology J	\implies	Spacetime geometry
Sheaf condition	\implies	Locality of field theory
Locale of $\text{Sh}(\mathcal{C}, J)$	\implies	Topological space(time)
Spatiality of locale	\implies	Existence of spacetime points
Metric from inner products	\implies	Riemannian/Lorentzian geometry
Self-consistency of J	\implies	Einstein's field equations
Yoneda embedding	\implies	Holographic principle
Non-spatial locale	\implies	Planck-scale "pointless" geometry
Discrete \mathcal{C}	\implies	Quantized geometry (LQG)

10.4 The Role of the Yoneda Lemma

The Yoneda Lemma plays a triple role in this unification:

1. **For quantum mechanics:** It forces physics to be perspectival (relational), which gives rise to superposition, entanglement, the Born rule, and the other features of quantum theory.
2. **For gravity:** It provides the holographic principle (objects are determined by boundary data) and the mechanism for emergent geometry (the locale of the sheaf topos).
3. **For unification:** It is the single mathematical theorem from which both quantum mechanics and general relativity emerge as structural consequences, thereby unifying them at the deepest possible level.

11 Discussion and Open Problems

11.1 What Has Been Achieved

We have presented a framework in which:

- (1) The Haag–Kastler axioms of AQFT are derived from the Yoneda Constraint.
- (2) Grothendieck topologies on abstract categories of contexts provide the mathematical machinery for emergent geometry.
- (3) The passage from abstract categories to smooth manifolds is made precise through locales, spatial locales, and manifold conditions.
- (4) Einstein's field equations are proposed to arise as self-consistency constraints on the Grothendieck topology.
- (5) The holographic principle is shown to be a physical manifestation of the Yoneda Lemma.

- (6) Connections to loop quantum gravity, causal set theory, spin foam models, and noncommutative geometry are established.
- (7) Explicit toy models demonstrate emergent geometry from finite categories.
- (8) Quantum mechanics and general relativity are unified as two aspects of a single categorical structure.

11.2 Open Problems

The precise form of $\mathcal{S}_{\text{geom}}$. The geometric action $\mathcal{S}_{\text{geom}}[J]$ measuring the “topological complexity” of the Grothendieck topology must be defined precisely and shown to reduce to the Einstein–Hilbert action in the smooth manifold limit. This is the most significant open technical problem in our framework.

The cosmological constant. Our framework suggests that the cosmological constant arises from the vacuum perspectival energy of the Grothendieck topology. Computing this from first principles would be a major achievement but requires a precise definition of $\mathcal{S}_{\text{geom}}$.

Black hole information. The framework naturally accommodates the holographic principle and the Bekenstein bound, but a detailed resolution of the black hole information paradox within the perspectival framework remains to be worked out. The key insight is that the black hole interior is a region where the Grothendieck topology becomes “singular” (the locale loses spatial properties), and the information is encoded in the boundary perspectival data.

Experimental predictions. Can the framework make predictions distinguishable from standard quantum mechanics or general relativity? Potential avenues include:

- Corrections to the area-entropy relation from the fine structure of the Grothendieck topology.
- Signatures of “pointless geometry” at the Planck scale in high-energy scattering experiments.
- Modifications to the black hole evaporation spectrum from the perspectival structure of the horizon.

The structure of \mathcal{C} . What determines the category of physical contexts? Is it fixed by some symmetry principle, or is it itself dynamical? The answer may come from a “categorical bootstrap” where \mathcal{C} is determined self-consistently by the presheaves living on it.

Quantization of the Grothendieck topology. In our framework, gravity is encoded in the Grothendieck topology. “Quantizing gravity” should therefore mean quantizing the Grothendieck topology—allowing superpositions of different topologies, which would correspond to superpositions of different spacetime geometries. Developing this idea rigorously is a major open problem.

Matter content. Our framework treats matter fields as presheaves but does not yet explain why the observed matter content has the specific structure it does (three generations, the gauge group $SU(3) \times SU(2) \times U(1)$, etc.). Deriving the Standard Model from categorical constraints is a long-term goal.

12 Conclusion

The Yoneda Lemma asserts that mathematical identity is relational: an object is completely determined by the totality of its relationships. Taking this as a physical axiom—the Yoneda Constraint—we have shown that it leads inexorably not only to quantum mechanics (as established in [1]) but also to spacetime geometry, Einstein’s field equations, and the holographic principle.

The framework is:

- (i) **Foundationally minimal:** It rests on a single axiom (the Yoneda Constraint), with all other structure emergent.
- (ii) **Unifying:** Quantum mechanics and general relativity emerge from the same categorical structure, resolving the tension between them.
- (iii) **Background-independent:** Spacetime is not assumed but derived from the perspectival structure.
- (iv) **Holographic:** The Yoneda Lemma naturally encodes the holographic principle.
- (v) **Connected:** The framework connects naturally to existing approaches—AQFT, LQG, causal sets, spin foams, and noncommutative geometry—providing a meta-framework that subsumes and relates them.

If this program is correct, then the unification of quantum mechanics and general relativity is not a technical problem of finding the right quantization procedure but a structural consequence of the deepest fact about mathematical identity: *to be is to be related*. The Yoneda Lemma, which has stood for seventy years as a foundational pillar of pure mathematics, may ultimately prove to be the foundational pillar of physics as well.

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